

Statement on principal adverse impacts of investment advice on sustainability factors

Name

Vontobel Asset Management S.A.

Legal Entity Identifier

529900LO1T9ADP03SQ41

Purpose

The purpose of this document is to provide information on the regulatory deliverables as required by Regulation (EU) 2019/2088 ("SFDR") and specified by Commission Delegated Regulation (EU) 2022/1288 ("SFDR Regulatory Technical Standards" or "SFDR RTS") Article 11, on how Vontobel Asset Management S.A. ("VAMSA") considers principal adverse impacts on sustainability factors (PAI) when providing investment advice to investors and clients.

Consideration of principal adverse impacts on sustainability factors

When providing investment advice to clients who are subject to European Union sustainable finance regulations, VAMSA considers principal adverse impacts in that advice.

How VAMSA uses the information published by financial market participants

SFDR requires financial markets participants to publish information on their websites on how they consider PAI of investment decisions. VAMSA uses this information by considering the following indicators of Table 1 of Annex I of the SFDR RTS:

INDICATOR IN SCOPE FROM ANNEX I OF THE SFDR RTS

14: Exposure to controversial weapons (anti-personnel mines, cluster munitions, chemical weapons, and biological weapons)

Whether VAMSA ranks and selects financial products based on this indicator

VAMSA uses this indicator in the investment advice to select financial products. However, VAMSA does not rank financial products using this indicator or any additional indicators of Table 1 of Annex I of the SFDR RTS.

For clients that have interest for financial instruments considering PAI according to their MiFID II sustainability preferences, further principal adverse impacts may be considered in advising those clients in line with their sustainability preferences.

Whether VAMSA applies any criteria or thresholds on these indicators that are used to select, or advise on, financial products

VAMSA prohibits advise on companies that manufacture controversial weapons (weapon systems and core components).

For information on our sustainability efforts, achievements, and goals, please see our Sustainability Report at www.vontobel.com/sustainability-report.